



Department of Taxation and Finance

Summary of Federal Form 1099-R Statements

IT-1099-R

New York State • New York City • Yonkers

Do not detach or separate the 1099-R Records below. File Form IT-1099-R as an entire page. See instructions on the back.

Name(s) as shown on return

Identifying number as shown on return

1099-R Record 1

Corrected (1099-R)

Recipient's identification number for this 1099-R

Box A Payer's name and full address

Box B Payer's federal identification number

Box 1 Gross distribution

.00

Box 2a Taxable amount

.00

Box 2b Taxable amount not determined

Total distribution

Box 3 Capital gain (included in box 2a)

.00

Box 7 Distribution code(s)

Box 9a Percentage of distribution

. .

Box 9b Employee contributions

.00

Box 10 Amount allocable to IRR within 5 years

.00

Box C Account number

Box 11 1st year of desig. Roth contrib.

State

NY

Box 14 State tax withheld (for NY State)

.00

NY State

Box 16 State distribution

.00

Locality a

Box 17 Local tax withheld (NYC or Yonkers)

.00

Locality b

.00

Locality a

Box 18 Locality name

Locality b

Box 19 Local distribution

Locality a

.00

Locality b

.00

Do not detach.

1099-R Record 2

Corrected (1099-R)

Recipient's identification number for this 1099-R

Box A Payer's name and full address

Box B Payer's federal identification number

Box 1 Gross distribution

.00

Box 2a Taxable amount

.00

Box 2b Taxable amount not determined

Total distribution

Box 3 Capital gain (included in box 2a)

.00

Box 7 Distribution code(s)

Box 9a Percentage of distribution

. .

Box 9b Employee contributions

.00

Box 10 Amount allocable to IRR within 5 years

.00

Box C Account number

Box 11 1st year of desig. Roth contrib.

State

NY

Box 14 State tax withheld (for NY State)

.00

NY State

Box 16 State distribution

.00

Locality a

Box 17 Local tax withheld (NYC or Yonkers)

.00

Locality b

.00

Locality a

Box 18 Locality name

Locality b

Box 19 Local distribution

Locality a

.00

Locality b

.00

1099-R Record 3

Corrected (1099-R)

Recipient's identification number for this 1099-R

Box A Payer's name and full address

Box B Payer's federal identification number

Box 1 Gross distribution

Box 2a Taxable amount

Box 2b Taxable amount not determined

Total distribution.....

Box 3 Capital gain (included in box 2a)

Box 7 Distribution code(s)

Box 9a Percentage of distribution

 .

Box 9b Employee contributions

Box 10 Amount allocable to IRR within 5 years

Box C Account number

Box 11 1st year of desig. Roth contrib.

State

Box 14 State tax withheld (for NY State)

Box 16 State distribution

NY State

Box 17 Local tax withheld (NYC or Yonkers)

Locality a

Locality b

Box 18 Locality name

Locality a

Locality b

Box 19 Local distribution

Locality a

Locality b

Do not detach.

Instructions

General instructions

Who must file this form

You must complete Form IT-1099-R if you:

- are required to file a New York State income tax return, and
- received 1099-R statements that show New York State, New York City, or Yonkers withholding.

To learn who must file a New York State income tax return, see *Do I need to file an income tax return?* (Search: *file*)

Specific instructions

In the top section of the form, enter your name and entire 9-digit Social Security number (SSN) or employer identification number (EIN) as entered on your income tax return.

If you are **married filing a joint return**, enter both names and the SSN you listed **first** on your income tax return.

Entering whole dollar amounts: When you enter amounts on this form, **enter whole dollar amounts only** (zeros have been preprinted), and use the following rounding rules: drop amounts below 50 cents, and increase amounts from 50 to 99 cents to the next dollar.

For example, \$1.39 becomes \$1 and \$2.50 becomes \$3.

How to complete each 1099-R Record

If you received any federal Forms 1099-R that show New York State, New York City, or Yonkers withholding, complete this form as follows for each:

Individuals: You must complete one *1099-R Record* section for each federal Form 1099-R you received.

Married couples: If you are married and filing a joint return, you must **also** complete one *1099-R Record* section for each federal Form 1099-R your spouse received.

Estates or trusts: Complete one 1099-R Record for each federal Form 1099-R the estate or trust received.

Each box in the *1099-R Record* section corresponds to a numbered box on federal Form 1099-R. Enter the amount, code, or description provided on federal Form 1099-R in the corresponding numbered boxes on Form IT-1099-R. Enter only the information requested on Form IT-1099-R. Complete additional Form(s) IT-1099-R if necessary.

Recipient's identification number for this Form 1099-R – Enter your SSN, your spouse's SSN, or the EIN of the estate or trust, as applicable.

Box A and Box B – Enter the payer's name and address and the payer's federal identification number as they appear on the corresponding federal Form 1099-R. If the corresponding box on federal Form 1099-R is marked, mark an **X** in the *Total distribution box* of the 1099-R record.

Corrected (1099-R) – If you received a federal corrected Form 1099-R, complete a *1099-R Record* and mark an **X** in the *Corrected (1099-R)* box.

Transfer the information: After you complete this form, transfer the tax withheld amounts to your income tax return as follows:

NY State tax withheld: Transfer the total amounts you entered in **Box 14** from all *1099-R records* to: Form IT-201, line 72; Form IT-203, line 62; or Form IT-205, line 34.

NYC tax withheld: Transfer the total amounts you entered for NYC in **Box 17** from all *1099-R records* to: Form IT-201, line 73; Form IT-203, line 63; or Form IT-205, line 35

Yonkers tax withheld: Transfer the total amounts you entered for Yonkers in **Box 17** from all *1099-R records* to: Form IT-201, line 74; Form IT-203, line 64; or Form IT-205, line 36.

File Form IT-1099-R as an entire page with your New York State income tax return: Form IT-201, IT-203, or IT-205.

Paper filers: Do not submit your federal 1099-R forms; keep them for your records. Do not detach or separate the 1099-R Records. Submit additional Forms IT-1099-R as needed to complete separate record for each federal Form 1099-R you received showing New York State, New York City, or Yonkers tax withheld.